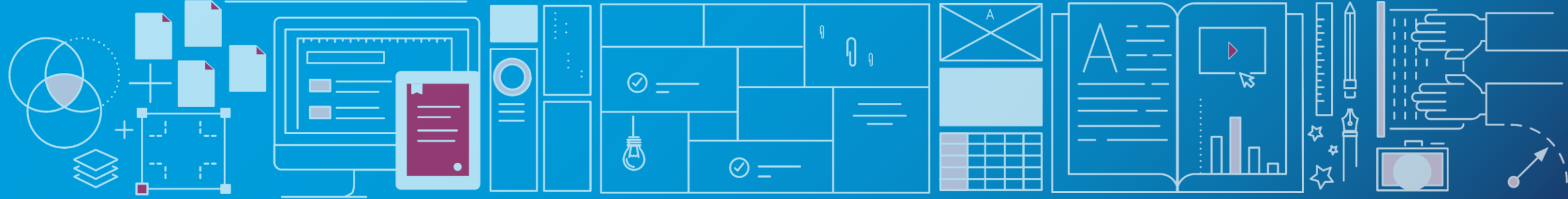


Introduction to

Independent Evaluation and Audit Services



WHY INDEPENDENT EVALUATION AND INTERNAL AUDIT SERVICES MATTER

2 different functions 1 overarching purpose



INDEPENDENT
EVALUATION



INTERNAL
AUDIT



Helps to ensure UN Women:

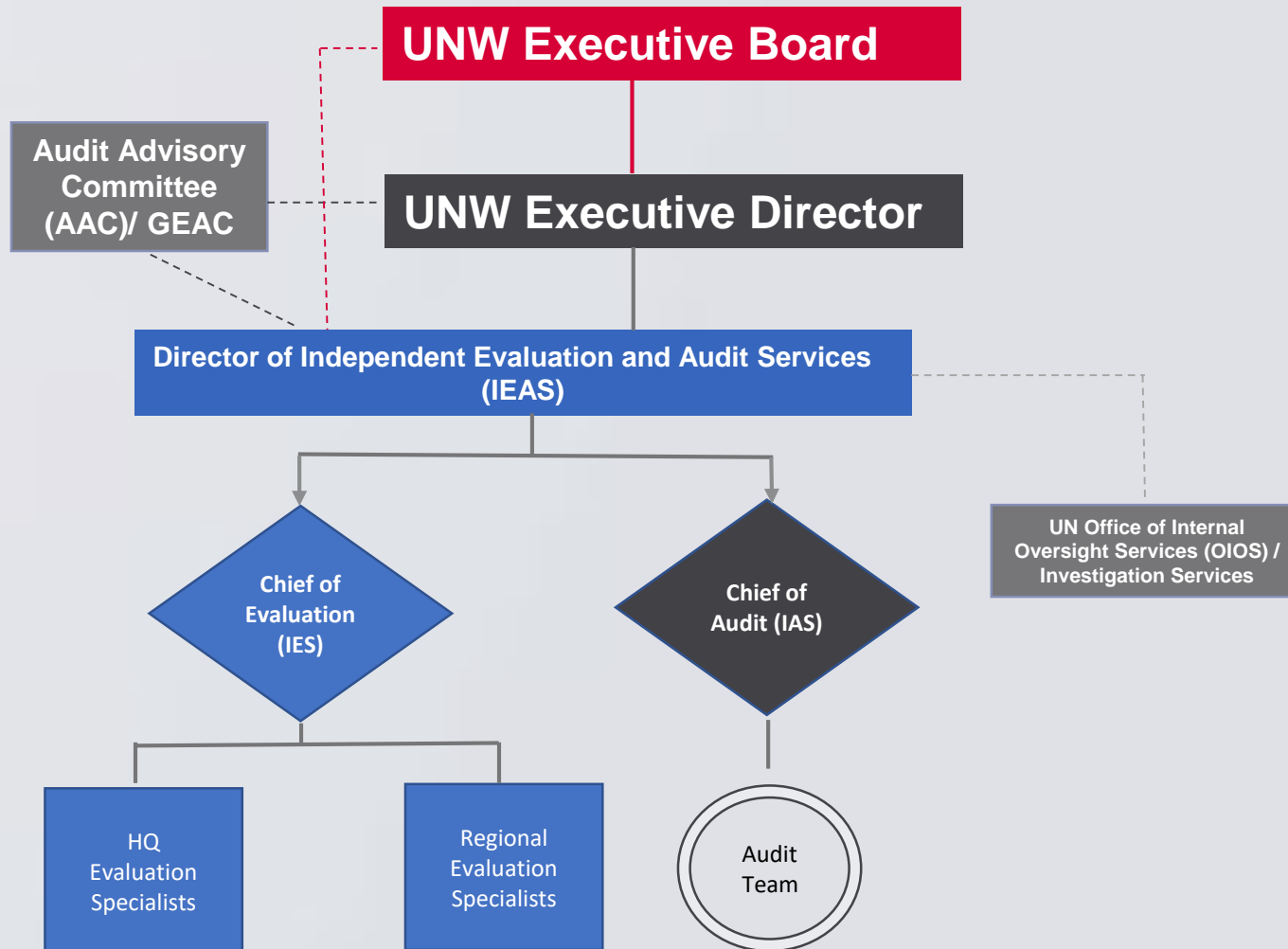
- Delivers its objectives and has **the greatest impact**
- Uses **resources effectively and efficiently** and is protected against the risk of fraud
- Is **accountable to** Executive Board and donors
- Promotes **gender-responsive evaluations**



HOW?

- By delivering evaluations that assess the intergovernmental normative support functions, UN coordination and operational activities of UN-Women
- By delivering audits that assess the effectiveness of risk management, application of internal control systems, and governance processes

IEAS Structure within UN WOMEN



+ CHARTER:

“...Credible evidence on the performance of UN-Women...”

“...assurance that UN-Women’s governance, risk management and internal controls are adequate, effective and functioning...”

+ INDEPENDENT:

Functional and operational independence from management
IEAS reports directly to the Executive Director

Reporting relationships to the Executive Board and the Audit Advisory Committee

+ AUTHORITY:

Full, free, and unrestricted access to all UN-Women records, electronic data, physical properties, and personnel

+ GOVERNED:

Charter, AAC, UNEG Standards, International Professional Practices Framework of the Institute of Internal Auditors

INDEPENDENT EVALUATION SERVICES

KEY PRINCIPLES

- > National ownership and leadership
- > UN System coordination and coherence
- > Independence, impartiality and ethics
- > Inclusiveness, participation, and transparency
- > Quality and credibility
- > Utility and utilization



Are we doing the right things?

Relevance (*needs, priorities, policy*) | Appropriate (*technology or approach*)



Are we doing things right?

Evaluability and results framework (*design*) | Efficiency | Coherence | Alignments



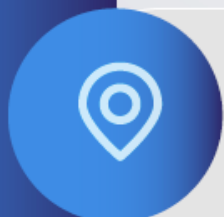
Are we making a difference?

Effectiveness in achieving results (*outputs*) | Contribution to outcomes & impact



Are we ensuring sustainability?

Capacities, systems and operations | Change processes | Exit strategies



Are we strategically positioned?

Strategic direction setting | Drivers of change

FRAMING QUESTIONS



UN Coordination

UNEG

United Nations
Evaluation Group



UNDAF

United Nations
Development
Assistance Framework



UN-SWAP EPI

United-Nations System-Wide Action Plan
on gender equality & the empowerment of
women

Evaluation Performance Indicator



National Evaluation Capacity Development



Support to national and regional
GRE capacities



INTERNAL AUDIT AND ADVISORY SERVICES

KEY PRINCIPLES

- > Independence, objectivity, impartiality
- > Risk-based and value-adding
- > Performance and coordination
- > Cost-effectiveness

KEY CRITERIA

- ✓ **Are UN-Women's governance and process effective for:**
 - making strategic and operational decisions
 - promoting appropriate ethics and values with the Entity
 - ensuring effective performance management and accountability
 - communicating information
- ✓ **Are UN-Women's risk management and control processes effective for:**
 - achieving the Entity's shared objectives
 - ensuring the integrity of financial and operational information
 - realizing effectiveness and efficiency of operations and programmes
 - safeguarding of assets
- ✓ **Are there opportunities for achieving better process effectiveness and efficiency and value-for-money?**

Reporting and the Executive Board

Evaluation and Audit Reports

- + Corporate and Decentralized Evaluation Reports available through UN-Women Global Accountability and Tracking of Evaluation Use (GATE) system:

www.unwomen.org/en/about-us/accountability/evaluation

- + Internal Audit Reports available at:

www.unwomen.org/en/about-us/accountability/audit/internal-audit-reports

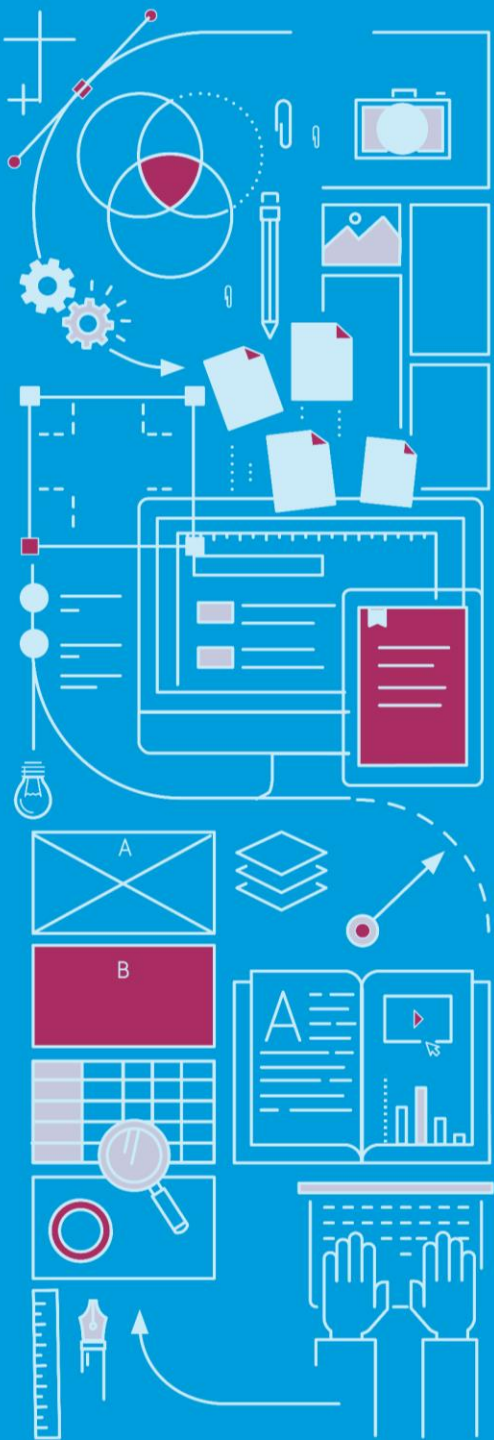
Annual Reports

Presented at the Annual Session of the Executive Board:

- + Report on the UN Women Evaluation Function
- + Report on Internal Audit and Investigation Function

Other Reports

- + All Corporate Evaluations presented to the Executive Board together with Management Response
- + UN-Women Evaluation Policy



THANK YOU

Any questions?